

Mauricio R. Guadalajara

Notice of Intent to Invoke Administrative Sanctions

DATE: June 17, 2002 RESPONDENT: Mauricio R. Guadalajara of Wichita, Kansas
ALLEGATIONS: Unlawful acts in connection with the offer of or contract for services of a loan broker Unregistered loan broker ORDER: The Notice of Intent to Invoke Administrative Sanctions Under the Kansas Loan Brokers act ("NOI") was issued to respondent for allegedly committing loan broker fraud in promoting a 95% success rate in securing mortgage loans for his clients in the *Mi Gente Hoy*, a monthly Hispanic magazine and on channel 56, a Hispanic television station. Both media outlets have coverage areas in Wichita, Kansas. The specific offer allegedly involved the respondent collecting an advance fee from the clients of \$200-300 for translation and consulting fees. The NOI further alleges that the respondent was not properly registered under the Kansas Loan Brokers Act.

Permanent Cease and Desist Order

DATE: July 22, 2002 RESPONDENT: Mauricio R. Guadalajara of Wichita, Kansas
ALLEGATIONS: Unlawful acts in connection with the offer of or contract for services of a loan broker Unregistered loan broker ORDER: Permanent Cease and Desist Order issued to respondent for allegedly committing loan broker fraud in promoting a 95% success rate in securing mortgage loans for his clients in the *Mi Gente Hoy*, a monthly Hispanic magazine and on channel 56, a Hispanic television station. Both media outlets have coverage areas in Wichita, Kansas. The specific offer allegedly involved the respondent collecting an advance fee from the clients of \$200-300 for translation and consulting fees. The NOI further alleges that the respondent was not properly registered under the Kansas Loan Brokers Act. The Order finds respondent's conduct constitutes loan broker fraud and that the respondent was not properly registered as a loan broker with the Commissioner's office.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.